



## MEMORANDUM – 2025-035

TO : ALL TRADING PARTICIPANTS

SUBJECT : EMPLOYEE MOVEMENT

DATE : 03 DECEMBER 2025

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Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 30 November 2025.

For information and guidance.

*(original signed)*

**GERARD B. SANVICTORES**

*President*

ACD	FHRAD	IED	SD

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
26-Nov-26	ENDAYA	ALAB RADHA G.	-	GRAPHIC DESIGNER	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
26-Nov-26	JIMENEZ	CEDRIQ MORISSE O.	-	I.T. STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
27-Nov-25	NG	JUSTIN JOSEPH T.	CERTIFIED UITF SPECIALIST	CERTIFIED FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
26-Nov-25	CHAN	JEANNE P.	ASSOCIATED PERSON/ COMPLIANCE OFFICER	-	META CAPITAL SECURITIES, INC. (formerly Yu & Company, Inc.)	RESIGNATION (no clearance required)
25-Nov-25	VELASCO	JESSICA C.	LICENSED SALESMAN	-	GLOBALINKS SECURITIES & STOCKS, INC.	RESIGNATION (cleared)
24-Nov-25	VILLAFRANCA	JAN ADRIEL M.	-	SALESMAN	CABALLES-GO SECURITIES, INC.	NEW EMPLOYMENT
22-Nov-25	RAMIREZ	CHOLO MIGUEL C.	RESEARCH ANALYST	-	AP SECURITIES, INC.	RESIGNATION (cleared)
21-Nov-25	LUNA	ANNE MARGARET C.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
21-Nov-25	GLORIA	FRANCIS ANTON C.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
21-Nov-25	UY	MARIAN GIRLIE C.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
21-Nov-25	HERNANDEZ	ELOISA FRANCESCA F.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
18-Nov-25	CAPULE	DANICA EUGENE F.	OPERATIONS SUPPORT ASSOCIATE (OUTSOURCED)	-	J. P. MORGAN SECURITIES PHILIPPINES, INC.	RESIGNATION (clearance under process)
17-Nov-25	ACUPAN	RICHARD JAMES D.	-	OPERATIONS ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
17-Nov-25	DESEO JR.	ROGELIO C.	FUNDS MANAGEMENT OFFICER	DEPARTMENT HEAD - FUNDS MANAGEMENT	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION

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17-Nov-25	DRIS	WILMINA G.	GENERAL SERVICES ADMINISTRATIVE OFFICER/ OIC HEAD, GENERAL ADMINISTRATION	DEPARTMENT HEAD - GENERAL ADMINISTRATION	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
17-Nov-25	KAWABATA	JEFFREY S.	DEPARTMENT HEAD - INFORMATION SECURITY/ DATA PROTECTION OFFICER	CHIEF INFORMATION SECURITY OFFICER/ DATA PROTECTION OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
17-Nov-25	SAVELLANO	JILLENE C.	DEPARTMENT HEAD - COMPLIANCE MANAGEMENT/ ASSOCIATED PERSON	CHIEF COMPLIANCE OFFICER/ ASSOCIATED PERSON	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
17-Nov-25	RAMOS	MARK B.	SYSTEMS AND DATABASE ADMINISTRATOR	SYSTEMS ADMINISTRATOR	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
17-Nov-25	JARDIN	RHEA G.	-	ASSOCIATED PERSON	LANDBANK SECURITIES, INC.	NEW EMPLOYMENT
17-Nov-25	CABILES	ADORA C.	-	ASSOCIATED PERSON/ COMPLIANCE OFFICER	META CAPITAL SECURITIES, INC. (formerly Yu & Company, Inc.)	NEW EMPLOYMENT
17-Nov-25	BAYLON	JERIC DINDO B.	-	INTERN	DRAGONFI SECURITIES INC.	NEW EMPLOYMENT
17-Nov-25	UNDERWOOD	RICHARD A.	SALESMAN	-	DIVERSIFIED SECURITIES, INC.	DECEASED
16-Nov-25	NECESITO	SHAILA B.	-	COMPLIANCE OFFICER	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
15-Nov-25	JARDIN	RHEA G.	HEAD/ HR ADMIN AND LEGAL SERVICES/ ASSOCIATED PERSON	-	AP SECURITIES, INC.	RESIGNATION (cleared)
15-Nov-25	CABILES	ADORA C.	ASSOCIATED PERSON/ COMPLIANCE OFFICER	-	TOWER SECURITIES, INC.	RESIGNATION (cleared)
15-Nov-25	TORIO	JOSEPH E.	DEPARTMENT HEAD OF FUNDS MANAGEMENT	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)

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15-Nov-25	RESUTA	MA. BIANCA A.	TRADING FLOOR ASSOCIATE	-	F. YAP SECURITIES, INC.	RESIGNATION (cleared)
14-Nov-25	GARCIA	ALFRED BENJAMIN R.	RESEARCH HEAD	-	AP SECURITIES, INC.	RESIGNATION (cleared)
14-Nov-25	DAQUIOAG	MARJORIE C.	-	ADMIN, PROCUREMENT AND FACILITIES CLERK	MAYBANK SECURITIES, INC.	NEW EMPLOYMENT
14-Nov-25	CHAN	JANELL ALEXIS S.	JUNIOR FINANCIAL ANALYST	-	EASTERN SECURITIES DEVELOPMENT CORPORATION	RESIGNATION (cleared)
14-Nov-25	DAM	CHRISTINA Y.	EXECUTIVE ASSISTANT	ASSOCIATED PERSON/ COMPLIANCE OFFICER	NEW WORLD SECURITIES CO., INC.	RE-DESIGNATION
13-Nov-25	MENESES	JUDIE Q.	SETTLEMENTS OFFICER	-	AP SECURITIES, INC.	RESIGNATION (cleared)
12-Nov-25	VILLAFRANCA	JAN ADRIEL M.	CSR EQUITIES TRADER	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (clearance under process)
12-Nov-25	VILLANUEVA	ANGEL CLYDE M.	-	INTERN	LUNA SECURITIES, INC.	NEW EMPLOYMENT
12-Nov-25	PADLAN	RYLE MATTHEW L.	-	INTERN	LUNA SECURITIES, INC.	NEW EMPLOYMENT
12-Nov-25	MIGUEL	JAMES KYLE N.	-	INTERN	LUNA SECURITIES, INC.	NEW EMPLOYMENT
11-Nov-25	GO	MICAH JULIENNE Y.	INSTITUTIONAL BUSINESS GROUP ASSOCIATE	INSTITUTIONAL EQUITY SALES	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
10-Nov-25	HATOL	MELBERT WESLEY S.	-	I.T. STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
10-Nov-25	CABILDO	CHRISTIAN PATRICK C.	-	EQUITY ANALYST	THE FIRST RESOURCES MANAGEMENT & SECURITIES	NEW EMPLOYMENT
8-Nov-25	NEVADO	NAISA GRACIELLE L.	-	ASSISTANT CORPORATE SECRETARY	B. H. CHUA SECURITIES CORPORATION	NEW EMPLOYMENT
7-Nov-25	HONRADA	MARY GRACE V.	FILING CLERK/ DISPATCHER	SETTLEMENTS CLERK	AP SECURITIES, INC.	RE-DESIGNATION

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7-Nov-25	ORBILLO	RENARIO JR. G.	OPERATIONS STAFF	FILING CLERK/ DISPATCHER	AP SECURITIES, INC.	RE-DESIGNATION
6-Nov-25	CANAPI	MARY JOY L.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
6-Nov-25	DE GUZMAN	JENDEE S.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
6-Nov-25	HERNANDEZ	RAFAEL J.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
6-Nov-25	HUGO	JOMARI VICTOR S.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
3-Nov-25	VIRTUDAZO	MARY ANN A.	INDEPENDENT DIRECTOR	-	SARANGANI SECURITIES, INC.	RESIGNATION (cleared)
3-Nov-25	DESTAJO	NANCY A.	-	INDEPENDENT DIRECTOR	SARANGANI SECURITIES, INC.	NEW EMPLOYMENT
3-Nov-25	SOGONI	DENMARK B.	-	SOFTWARE ENGINEER	PHILSTOCKS FINANCIAL, INC.	NEW EMPLOYMENT
3-Nov-25	GUZMAN	CYRYLL ISCHYRION G.	-	SALES AND MARKETING ASSOCIATE	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
3-Nov-25	FADRI	ANN MOIRA U.	-	ACCOUNTING ASSOCIATE	THE FIRST RESOURCES MANAGEMENT & SECURITIES	NEW EMPLOYMENT
3-Nov-25	GUANCO	RODSON C.	-	ASSOCIATE DIRECTOR, COUNTRY OPERATING OFFICER	UBS SECURITIES PHILIPPINES INC.	NEW EMPLOYMENT
3-Nov-25	AURELIO	RAIZA G.	-	COLLECTION AND DISPATCH COORDINATOR	SB EQUITIES, INC.	NEW EMPLOYMENT
3-Nov-25	ORDONA	RUSSELLE T.	ACCOUNTING CLERK	SALESMAN	BA SECURITIES, INC.	RE-DESIGNATION
3-Nov-25	REMEDIOS	JOAN L.	COMPLIANCE ASSISTANT/ RECORD KEEPER	SETTLEMENT HEAD/ COMPLIANCE ASSISTANT/ RECORD KEEPER	NEW WORLD SECURITIES CO., INC.	RE-DESIGNATION

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3-Nov-25	ONG	JENNIFER T.	SETTLEMENT HEAD	-	NEW WORLD SECURITIES CO., INC.	RETIREMENT
3-Nov-25	ONGPIN	LUISA M.	-	JUNIOR RESEARCH ANALYST	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
1-Nov-25	ROQUE	RAINSTAR I.	INSTITUTIONAL SALES OFFICER	-	BPI SECURITIES CORPORATION	RESIGNATION (cleared)
1-Nov-25	TANEDO	CORRINE HAZEL G.	VICE PRESIDENT-RESEARCH DEPARTMENT	PRESIDENT	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
1-Nov-25	SANTOS JR.	LAMBERTO M.	PRESIDENT	SALESMAN (AGENT)	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
1-Nov-25	VICENCIO JR.	JOSELITO C.	VICE PRESIDENT-INSTITUTIONAL SALES & TRADING	VICE PRESIDENT-HEAD OF INSTITUTIONAL SALES	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
1-Nov-25	BOLANTE	VANESSA A.	-	TRADE LIFECYCLE ANALYST (OUTSOURCED)	J. P. MORGAN SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
30-Oct-25	SENORO	KATHERINE C.	OPERATIONS ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
30-Oct-25	MISTAL	MAXI SIMON V.	ASSISTANT TRADER	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
30-Oct-25	CO	MAXINE VICTORIA L.	EXECUTIVE ASSISTANT TO THE PRESIDENT	SALESMAN	AURORA SECURITIES, INC.	RE-DESIGNATION
30-Oct-25	AQUINO	ANDREA F.	ASST. VICE PRESIDENT SETTLEMENT DEPT.	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION (cleared)
30-Oct-25	ANGELES	ANNIKA GABRIELLE S.	RESEARCH ANALYST	-	LUNA SECURITIES, INC.	RESIGNATION (cleared)
29-Oct-25	DELA CRUZ	STEVEN FREDERICK S.	IT OPERATIONS ENGINEER - SENIOR ASSOCIATE	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
29-Oct-25	ESPIRITU	RUBEN ENRIQUE A.	HEAD, BROKERAGE SALES	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO PB
25-Oct-25	BORJA	IRA V.	ACCOUNTING ASSOCIATE	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION (cleared)

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25-Oct-25	GOMEZ	DORA JANE D.	CUSTOMER SUPPORT ASST. SUPERVISOR, SECURITY TEAM	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)
24-Oct-25	SANTIAGO	KATRINA MONIQUE S.	ADVISORY SALES ASSOCIATE	-	UBS SECURITIES PHILIPPINES INC.	RESIGNATION (no clearance required)
22-Oct-25	MIRABUENO	MARIA MARGARITA R.	-	BOARD OF DIRECTOR	SB EQUITIES, INC.	NEW EMPLOYMENT
21-Oct-25	GARCIA	MA. RAQUEL R.	SALESMAN	ASSOCIATED PERSON	MDR SECURITIES, INC.	RE-DESIGNATION
16-Oct-25	DIARON	RONABIL M.	-	HEAD OF ADMINISTRATION	REGIS PARTNERS, INC.	NEW EMPLOYMENT
16-Oct-25	VELASCO	JOHN CEDRICK D.	DIGITAL MARKETING ASSOCIATE	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION (cleared)
16-Oct-25	ADAMOS	ELIJAH NONNATUS A.	-	INDEPENDENT DIRECTOR	FIDELITY SECURITIES, INC.	NEW EMPLOYMENT
15-Oct-25	PANELO	MARJETTE M.	ADMINISTRATION HEAD	-	REGIS PARTNERS, INC.	RESIGNATION (cleared)
15-Oct-25	LARAGAN	KRISHA MARIE G.	SETTLEMENT ASSISTANT	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
10-Oct-25	GARCIA	MA. RAQUEL R.	SALESMAN	-	MDR SECURITIES, INC.	RESIGNATION (cleared)
4-Oct-25	ALCAIDE	JINKY B.	COMPLIANCE STAFF	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)
3-Oct-25	LACAP	THALIA LUISA C.	OPERATIONS ASSISTANT	-	REGIS PARTNERS, INC.	RESIGNATION (clearance under process)
26-Sep-25	DAWAL	MARY KATHERIN ANTONETTE V.	MARKETING ADMIN STAFF	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)